



Attorney's Docket No. 5308-156

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Ryu et al.

Group Art Unit: 2811

Serial No.: 09/911,995

Confirmation No.: 5240

Filed: July 24, 2001

Examiner: Gene Munson

For: SILICON CARBIDE POWER METAL-OXIDE SEMICONDUCTOR FIELD  
EFFECT TRANSISTORS HAVING A SHORTING CHANNEL AND METHODS  
OF FABRICATING SILICON CARBIDE METAL-OXIDE SEMICONDUCTOR  
FIELD EFFECT TRANSISTORS HAVING A SHORTING CHANNEL

July 3, 2003

Mail Stop RCE

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Attached is a form PTO-1449, together with a copy of the identified document(s).  
This Information Disclosure Statement is submitted in accordance with 37 C.F.R. § 1.97(b),  
before mailing of a first Office Action in the Request for Continued Examination of an above-  
referenced application filed concurrently herewith. It is requested that these documents be  
considered by the Examiner and officially made of record in accordance with the provisions  
of 37 C.F.R. § 1.97 and Section 609 of the MPEP.

In particular, copies of documents 5 and 6 on the attached Form PTO-1449 have been  
previously provided to the Patent Office with previously submitted IDSs but not considered  
by the Examiner. It is requested that these documents be considered by the Examiner and  
officially made of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section  
609 of the M.P.E.P., as Applicants have complied with the requirements of this section.

Applicants note that the Examiner struck through documents 5-6 listed on the attached  
PTO-1449 form and indicated that no publication data was available. Applicants submit the  
present IDS with an RCE responsive to a telephonic request from Examiner Munson and,  
request that the Examiner consider these references as prior art under 35 U.S.C. § 102(f) for  
purposes of examination of the present application. Applicants have included a publication  
corresponding to item 5 on the form PTO-1449 along with item 5 as requested by Examiner  
Munson. Applicants respectfully request that Examiner Munson consider the references at  
this time. Applicants appreciate Examiner Munson's assistance with this matter.

RECEIVED  
JUN 10 2003  
TECHNOLOGY CENTER  
PTO-1449

In re: Ryu et al.  
Serial No.: 09/911,995  
Filed: July 24, 2001  
Page 2 of 2

No fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to our Deposit Account No. 50-0220.

Respectfully submitted,



Elizabeth A. Stanek  
Registration No. 48,568



20792

PATENT TRADEMARK OFFICE

"Express Mail" mailing label number EV 353609998 US  
Date of Deposit: July 3, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop RCE, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Susan E. Freedman  
Date of Signature: July 3, 2003



07 07 03 led RCE copy

THIS FORM IS BASED ON PTO/SB/30 (08-00)

<b>REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL</b>  Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995. See The American Inventors Protection Act of 1999 (AIPA).	<b>Application Number</b>	09/911,995
	<b>Filing Date</b>	07/24/2001
	<b>First Named Inventor</b>	Sei-Hyung Ryu
	<b>Group Art Unit</b>	2811
	<b>Examiner Name</b>	Gene Munson
	<b>Attorney Docket Number</b>	5308-156

#15  
RCE  
Y. Rodriguez  
9/12/03

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.  
**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.353(d) (PTO/SB/29) instead of an RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which was established RCE practice.

1. Submission required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☐ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☒ Information Disclosure Statement (IDS), PTO-1449 and 6 cited references
- iv. ☐ Other: \_\_\_\_\_

2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.120 required)
- b. ☐ Other \_\_\_\_\_

3. Fees

The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 50-0220
- i. ☒ RCE fee required under 37 C.F.R. § 1.17(e) 07/08/2003 EAREGAY1 00000106 500220 09911995
- ii. ☐ Extension of time fee (37 C.F.R. § 1.136 and 1.137) 750.00 DA
- iii. ☐ Other \_\_\_\_\_
- b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)
- d. ☒ If necessary, the Director is hereby authorized to charge any deficiencies, or credit any overpayments, to Deposit Account No. 50-0220

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

<b>Name (Print/Type)</b>	Elizabeth A. Stanek	<b>Registration No. (Attorney/Agent)</b>	48,568
<b>Signature</b>		<b>Date</b>	July 3, 2003

CERTIFICATE OF MAILING OR TRANSMISSION

"Express Mail" mailing label number EV 353609998 US  
Date of Deposit: July 3, 2003  
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop RCE, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<b>Name (Print/Type)</b>	Susan E. Freedman	<b>Date</b>	July 3, 2003
<b>Signature</b>			

200772

Match and Return